



As used herein, Company refers to a member insurance company of Axis Insurance

# Renewal Combined Area of Practice Supplemental Application



**Civil Litigation Plaintiff:** If N/A, please check here and proceed to Real Estate:

- For all attorneys in the firm who perform in plaintiff practice, what is the average number of years of experience working in this area of law? \_\_\_\_\_
- Average number of cases these attorneys handle per year per attorney? \_\_\_\_\_
- Indicate percentage of cases in the following categories:
  - Bodily Injury / Property Damage: \_\_\_\_\_ %
  - Class Action / Mass Tort\*: \_\_\_\_\_ %
  - Commercial / Contract Disputes: \_\_\_\_\_ %
  - Employment: \_\_\_\_\_ %
  - Legal Malpractice: \_\_\_\_\_ %
  - Medical Malpractice: \_\_\_\_\_ %
  - Malpractice Other (Please describe): \_\_\_\_\_ %
  - Real Estate: \_\_\_\_\_ %
  - Toxic Tort: \_\_\_\_\_ %
  - Workers Compensation: \_\_\_\_\_ %
  - Other (Please describe): \_\_\_\_\_ %

**Total:** \_\_\_\_\_ %
- In the past twelve (12) months what is the average value of all plaintiff cases? ..... \$ \_\_\_\_\_
- In the past twelve (12) months, what is the maximum dollar value of any one plaintiff case? ..... \$ \_\_\_\_\_
- In the past twelve (12) months, what is the percentage of plaintiff cases referred by you to other law firms for handling? ..... % \_\_\_\_\_

**\*Class Action / Mass Tort:** Please provide the following details on all Class Action matters in which the firm was involved in the past five (5) years: (If no Class Action matters were handled, please so indicate)

Date Representation Began	Subject Matter	Capacity Served (1)	On Behalf (2)	Was the Class Certified Y/N?	Total # of Class Members	Total Damages	Status

**Note (1):** LC – Lead Counsel, CLC – Co-Lead Counsel, LCO – Local Counsel Only **Note (2):** P – Plaintiffs, D – Defendants

**Real Estate:** If N/A, please check here and proceed to Debtor & Creditor Collections:

- In the past twelve (12) months, what is the average annual number of commercial and residential real estate purchase or sale transactions? ..... Residential \_\_\_\_\_ Commercial \_\_\_\_\_
- In the past twelve (12) months, provide the values of any commercial and residential real estate purchase or sale transaction handled by the Applicant Firm:

**Largest Dollar Value Transactions:**

**Average Dollar Value of Transactions:**

- Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_ Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_
- Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_ Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_
- Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_ Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_
- Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_ Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_
- Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_ Residential \$ \_\_\_\_\_ Commercial \$ \_\_\_\_\_

- In the past twelve (12) months, of the total commercial real estate transactions handled by the Applicant Firm, what percentage involved syndications, master limited partnerships, REITs, or any other transaction involving more than five (5) passive investors ..... % \_\_\_\_\_

**Real Estate Continued**

4. In the past twelve (12) months, what is the average annual number of real estate transactions other than purchase or sale handled by the Applicant Firm in each category below?
- a. Mortgages / Refinancing: \_\_\_\_\_ e. Litigation other than foreclosures: \_\_\_\_\_
- b. Foreclosures / Loan Workouts: \_\_\_\_\_ f. Zoning, Eminent Domain, Tax Assessment Appeals: \_\_\_\_\_
- c. Title Searches / Document Preparation: \_\_\_\_\_ g. Other (describe): \_\_\_\_\_
- d. Landlord / Tenant Disputes: \_\_\_\_\_
5. In the past twelve (12) months, with respect to title work handled by the Applicant Firm, please provide the average annual number of residential and commercial title searches performed in each category below:
- | Party Performing Search                   | Residential Searches | Commercial Searches |
|---|----------------------|---------------------|
| a. Lawyer of Applicant Firm               | _____                | _____               |
| b. Non-lawyer employee of Applicant Firm: | _____                | _____               |
| c. Lawyer not a member of Applicant Firm: | _____                | _____               |
| d. Non-lawyer subcontractor:              | _____                | _____               |
6. Does the Applicant Firm, or any lawyer for whom coverage is sought, wholly own a title agency? . . . . .  Yes  No
- a. If "Yes", is coverage sought for such Title Agency as an additional insured on policy? . . . . .  Yes  No
- b. Are at least 75% of the clients of said Title Agency also clients of the Applicant Firm? . . . . .  Yes  No
7. In the past twelve (12) months, has any lawyer for whom coverage is sought acted as a title insurance agent? . . . . .

**Debtor & Creditor Collections:** If N/A, please check here and proceed to Corporate Formation/Alteration:

1. Has the Applicant Firm ever received an allegation or otherwise been put on notice that it has violated any provisions of the Fair Debt Collections Practices Act ("FDCPA"), or any similar state or local "fair debt collection" laws? . . . . .  Yes  No
2. What is the total number of non-lawyer staff involved in debt collection matters on behalf of creditor clients? . . . . . \_\_\_\_\_

**Corporate Formation/Alteration:** If N/A, please check here and proceed to Intellectual Property:

1. Are any lawyers affiliated with the Applicant Firm employed as General Counsel or Regulatory Counsel by any client for whom the Applicant Firm has provided Corporate Formation / Alternation legal services? . . . . .  Yes  No
2. Are any lawyers affiliated with the Applicant Firm providing Securities or Mergers & Acquisitions advice? . . . . .  Yes  No
- If "Yes" to the above, that Securities or M&A advice is being given, please complete the Securities and/or M&A Supplements.**

**Intellectual Property:** If N/A, please check here and proceed to Entertainment:

1. Are any lawyers handling intellectual property matters for the Applicant Firm a member of Patent Bar? . . . . .  Yes  No
2. In the past twelve (12) months or in the next twelve (12) months has any lawyer working for the Applicant Firm handled or plan to handle any Patent cases? . . . . .  Yes  No

**Entertainment:** If N/A, please check here and proceed to Merger & Acquisitions:

1. Please indicate the percentage of the entertainment work derived from:
- Film \_\_\_\_\_ % TV \_\_\_\_\_ % Music \_\_\_\_\_ % Sports \_\_\_\_\_ % Other \_\_\_\_\_ %
2. Has there been any change to the Applicant Firm's high profile, entertainment, sports, performers, publishers/authors, designers, fine arts, media or other public figure clients? . . . . .  Yes  No
- If "Yes" to the above, please provide the details below.**

Client Name	Client's Field	Types of Dates of Legal Services Provided	Still a Client?	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

3. Has the Applicant Firm or any lawyer for whom coverage is sought:
- a. Served as a talent agent or manager and/or negotiate personal appearances or product endorsements for any of the Applicant's entertainment clients? . . . . .  Yes  No
- b. Taken a percentage of a transaction or deal as compensation for legal services? . . . . .  Yes  No

**Mergers & Acquisitions:** If N/A, please check here and proceed to Financial Institutions:

1. In the past twelve (12) months, how many separate instances has the Applicant Firm provided legal services or advice for each of the following transactional categories:
  - a. Merger of publicly traded companies: \_\_\_\_\_ c. Acquisition of publicly traded company: \_\_\_\_\_
  - b. Merger of privately traded companies: \_\_\_\_\_ d. Acquisitions of privately traded company: \_\_\_\_\_
2. In the past twelve (12) months, please list the post-closure values of the three (3) largest transactions for which the Applicant Firm rendered any legal services:

Mergers (combined assets)	Acquisitions (purchase price)
\$	\$
\$	\$
\$	\$

**Financial Institutions:** If N/A, please check here and completed the signature section below:

1. Within the past twelve (12) months, have any services been provided to financial institutions OTHER THAN loan documentation, bankruptcy/collection, real estate loan closings, title, and/or trust work? .....  Yes  No
2. Has the firm provided financial institution formation services, regulatory services or advice, general services, or approved loans for any financial institution? .....  Yes  No
3. Has any member of the firm acted as a Director or Officer, held more than 1% equity interest or had a loan exceeding \$50,000 with a financial institution client of the firm? .....  Yes  No
4. Has any past or present financial institution client of the firm ceased operations, gone insolvent, been declared insolvent, or become controlled or operated by the FDIC, OCC, OTS, or any other government agency? .....  Yes  No

**If "Yes" to any of the above, please provide details below**

Financial Institution Name, City and State	Services Provided by the Firm	Director, Officer, General counsel or Regulatory Counsel? Y/N	Total Attorney Equity Value in Client	Date of Service

APPLICANT UNDERSTANDS THE INFORMATION SUBMITTED HEREIN BECOMES A PART OF THE APPLICANT'S LAWYERS PROFESSIONAL LIABILITY INSURANCE APPLICATION AND IS SUBJECT TO THE SAME REPRESENTATIONS AND CONDITIONS.

**Sign and date in ink**

Signature: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_ Name of firm: \_\_\_\_\_